

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Tedder, Thomas F.

Application No.: 09/555,349

Group No.:

Filed: 05/26/00

Examiner:

For: ANTIBODY PRODUCTION METHODS RELATED TO DISRUPTION OF PERIPHERAL TOLERANCE IN B LYMPHOCYTES

Commissioner for Patents
Washington, D.C. 20231

ATTENTION: Refund Section, Accounting Division, Office of Finance

REQUEST FOR REFUND
(37 C.F.R. 1.28(a))

SUBMISSION OF SMALL ENTITY STATEMENT

Attached is a statement claiming small entity status in this application.

II. REFUND REQUEST

This request for refund is made within two months of the date a fee was paid in this application on May 26, 2000, in the amount of \$ 970.00.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒

Express mail No. 652276959265
deposited with the United States Postal Service
as Express Mail Post Office to Addressee under
37 C.F.R. 1.10 on the date listed below addressed
to the Commissioner for Patents, Washington,
D.C. 20231.

Date: 7-25-00

FACSIMILE

☐

transmitted by facsimile to the Patent and
Trademark Office.

Signature

Amy J. Martin

(type of print name of person certifying)

(Request for Refund--page 1 of 2)

III. FEES PAID FOR WHICH REFUND REQUESTED AMOUNT OF REFUND REQUESTED

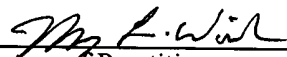
Filing fee		\$485
	TOTAL REFUND REQUESTED	\$485

IV. MANNER OF REFUND

Please make refund by refunding overpayment.

Date: 7-25-00

Reg. No.: 36,058
Tel. No.: 919-493-8000



Signature of Practitioner

Jeffrey L. Wilson
JENKINS & WILSON, P.A.
Suite 1400 University Tower
3100 Tower Boulevard
Durham, NC 27707
USA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Tedder, Thomas F.

Application No.: 09/555,349

Filed on: May 26, 2000

Title: ANTIBODY PRODUCTION METHODS RELATED TO DISRUPTION OF
PERIPHERAL TOLERANCE IN B LYMPHOCYTES

**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(b))--NONPROFIT ORGANIZATION**

I hereby state that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of Nonprofit : DUKE UNIVERSITY
Address of Nonprofit : 230 North Building
Research Drive
Durham, North Carolina 27708

TYPE OF NONPROFIT ORGANIZATION

University or Other Institution of Higher Education.

I hereby state that the nonprofit organization identified above qualifies as a nonprofit organization, as defined in 37 CFR 1.9(e), for purposes of paying reduced fees to the United States Patent and Trademark Office under Sections 41(a) and (b) of Title 35, United States Code, with regard to the invention described in the application identified above.

I hereby state that rights under contract or law have been conveyed to, and remain with, the nonprofit organization, with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. 1.9(c), if that person made the invention, or by any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e)

No such person, concern, or organization exists. I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Robert L. Taber
Vice Chancellor/Director
Duke University
230 North Building, Research Drive
Durham, North Carolina 27708
UNITED STATES OF AMERICA

SIGNATURE



Date

7/17/00